

1 **Height is more important than light in determining leaf**
2 **morphology in a tropical forest**

3 **Running title:** *Height determines LMA*

4 **Molly A. Cavaleri** (*corresponding author*)

5 mollycavaleri@gmail.com, Department of Forest, Rangeland, and Watershed

6 Stewardship, and Graduate Degree Program in Ecology, Colorado State University, Fort

7 Collins, CO 80523, USA; Phone: 970-215-4817; Fax: 808-956-3923

8 **Steven F. Oberbauer**

9 oberbaue@fiu.edu, Department of Biological Sciences, Florida International University,

10 11200 SW 8th Street, Miami, FL 33199, USA; and Fairchild Tropical Botanic Garden,

11 11935 Old Cutler Road, Miami, FL 33156, USA

12 **David B. Clark**

13 dbclark@sloth.ots.ac.cr, Department of Biology, University of Missouri-Saint Louis, St.

14 Louis, MO 63121, USA

15 **Deborah A. Clark**

16 daclark@sloth.ots.ac.cr, Department of Biology, University of Missouri-Saint Louis, St.

17 Louis, MO 63121, USA

18 **Michael G. Ryan**

19 mgryan@fs.fed.us, USDA Forest Service, Rocky Mountain Research Station, 240 West

20 Prospect RD, Fort Collins, CO 80526, USA; and Department of Forest, Rangeland, and

21 Watershed Stewardship, and Graduate Degree Program in Ecology, Colorado State

22 University, Fort Collins, CO 80523, USA

1 **ABSTRACT**

2 **Both within and between species, leaf physiological parameters are strongly**
3 **related leaf dry mass per area (LMA, g m^{-2}), which has been found to increase from**
4 **forest floor to canopy top in every forest where it has been measured. Although**
5 **vertical LMA gradients in forests have historically been attributed to a direct**
6 **phenotypic response to light, an increasing number of recent studies have provided**
7 **evidence that water limitation in the upper canopy can constrain foliar**
8 **morphological adaptations to higher light levels. We measured height, light and**
9 **LMA of all species encountered along 45 vertical canopy transects across a Costa**
10 **Rican tropical rain forest. LMA was correlated with light levels in the lower canopy**
11 **until approximately 18 m sample height and 22 % diffuse transmittance. Height**
12 **showed a remarkably linear relationship with LMA throughout the entire vertical**
13 **canopy profile for all species pooled and for each functional group individually**
14 **(except epiphytes), possibly through the influence of gravity on leaf water potential**
15 **and turgor pressure. Models of forest function may be greatly simplified by**
16 **estimating LMA-correlated leaf physiological parameters solely from foliage height**
17 **profiles, which in turn can be assessed with satellite- and aircraft-based remote**
18 **sensing.**

19
20 **Key words:** leaf mass per area, specific leaf area, sun leaves, shade leaves, water
21 potential, vertical gradient, light environment, foliar morphology, turgor pressure,
22 tropical rain forest

23

1 INTRODUCTION

2 Both within and across species, the physiology and function of leaves strongly
3 relates to leaf mass per area (LMA, g m^{-2}), the product of leaf thickness and leaf density.
4 Patterns of LMA can depend on both genotypic (across species) and phenotypic (within
5 species) phenomenon. Across different species, LMA correlates with leaf life span,
6 photosynthesis, dark respiration, and foliar N, describing the trade-off between long-lived
7 leaves with greater allocation to structural rather than metabolic components vs. short-
8 lived leaves with high metabolic activity and less physical protection (i.e. low LMA is
9 associated with high photosynthetic capacity and vice-versa) (Reich et al. 1991).

10 Within species, however, the patterns between LMA and physiological function
11 within the canopy profile can be quite the opposite. For example, LMA and
12 photosynthesis per unit leaf area tend to be positively correlated and together increase
13 from forest floor to canopy top (Bond et al. 1999). LMA increases within the vertical
14 canopy profile in every forest where it has been measured; a kilogram of leaves at the
15 bottom of a tree canopy generally has 3-5 times the surface area of the same mass of
16 leaves at the top of the canopy (Hutchison et al. 1986, Oberbauer and Strain 1986,
17 Hollinger 1989, Niinemets and Kull 1995, Meir et al. 2001). Why do we find this strong
18 pattern of LMA within the canopy profile?

19 The study of leaf morphology and its response to environmental factors goes back
20 more than a century, with investigations of light, evaporating power of air, temperature,
21 humidity and wind as primary factors of influence on LMA (Hanson 1917). Classic
22 studies have shown that, as a response to increased total irradiance, new leaves develop
23 with longer, stacked palisade cells and larger and more mesophyll cells, thus increasing

1 leaf thickness and LMA (Nobel 1977, Smith and Nobel 1978, Chabot et al. 1979, Oquist
2 et al. 1982, Ellsworth and Reich 1992, Hikosaka et al. 1994). As a result of these early
3 investigations, vertical gradients of LMA within forest stands have long been assumed to
4 be primarily driven by the gradient of light from the ground to the canopy top (Jackson
5 1967, Hutchison et al. 1986, Oberbauer and Strain 1986, Hollinger 1989, Niinemets and
6 Kull 1995, Meir et al. 2001). More recently, several studies have suggested that vertical
7 gradients of hydrostatic constraints may be a primary determinant of patterns in LMA
8 within the canopy profile (Niinemets 1997, Niinemets and Kull 1998, Marshall and
9 Monserud 2003, Koch et al. 2004, Woodruff et al. 2004, England and Attiwill 2006,
10 Ryan et al. 2006, Ishii et al. 2007, Ishii et al. 2008, Meinzer et al. 2008). Vertical species
11 replacement may also contribute to patterns of LMA within forest canopy profiles, where
12 an increase in height may correspond to the replacement of shade tolerant species
13 (generally lower LMA) with shade intolerant species (generally higher LMA) (Niinemets
14 and Kull 1998).

15 We investigated the sources of variation in LMA within the vertical canopy
16 profile for all plant functional groups found in an old-growth tropical wet forest. If the
17 pattern in LMA was primarily genotypic, as the result of species replacement within the
18 canopy, we would expect plant functional groups lower in the canopy to have lower
19 LMA overall than functional groups higher in the canopy. If the variation in LMA were
20 primarily the result of phenotypic responses to light, we would expect to see a strong
21 relationship between LMA and light environment. If the pattern in LMA were primarily
22 a phenotypic response to gravity, we would expect to see a stronger relationship between
23 LMA and sample height above the ground. This study presents results from a two year

1 field campaign where we measured light environment, height and LMA of all plant
2 functional groups (200+ species) found along 45 vertical canopy transects. We used a
3 portable scaffolding tower to access foliage from forest floor to canopy top randomly
4 across the landscape of an old-growth tropical rain forest in Costa Rica.

6 **MATERIALS AND METHODS**

7 *Study site*

8 We sampled in the old-growth forest of La Selva Biological Station, in the
9 Caribbean lowlands of Costa Rica (elevation 37-150 m, 10°20' N, 83°50' W). La Selva
10 is a tropical wet forest (Hartshorn 1983), with mean annual rainfall of 4000 mm, and a
11 mean annual temperature of 26 °C. The average canopy height for the old-growth forest
12 (including gaps) is ~20 m, and individual emergent trees range from 30-60 m (Clark et al.
13 2004). For a more complete analysis of the forest canopy structure at La Selva, including
14 leaf area distribution by height and functional group, see Clark et al. (2008). Woody
15 plant diversity is approximately 90-115 spp ha⁻¹, based on studies reporting stems at least
16 10 cm in diameter (Hartshorn 1983, Lieberman et al. 1996). Both woody and herbaceous
17 functional groups reach great heights in the canopy, and some epiphytes and ferns grow
18 high in the canopy without being rooted in the ground. Additional information about the
19 soils and plants of La Selva can be found in McDade et al. (1994).

20

21 *Sampling design and data collection*

22 The towers sampling design and construction were part of a larger project with
23 the goal of characterizing tropical rain forest canopy structure and function across

1 environmental gradients. Forty-five tower sites were located across the old-growth forest
2 of La Selva using a stratified random sample (Clark et al. 2008). At each site, we
3 constructed an aluminum walk-up scaffolding tower (Upright, Inc, Dublin, Ireland) to the
4 top of the canopy, harvesting all foliage above each tower section as the tower was built.
5 Tower heights varied from 1.86 m (1 section) to 44.64 m (24 sections). Our unique
6 sample design offered completely random vertical transects of foliage within 4.56 m²
7 area footprints, rather than the standard “whole tree” ecophysiology approach. Because
8 of this design and the high biodiversity of the sampled ecosystem, we found very few
9 species with enough samples in enough light or height environments to analyze
10 individually, so we aggregated species into plant functional groups and also all species
11 together for most of the analyses in this study (See Appendix, Fig. 2 for individual
12 species plots for the only six species with >30 sample points at > 4 sample heights).

13 Foliage was separated into five plant functional groups: trees, palms, lianas,
14 herbaceous groups (vines, forbs, and terrestrial ferns), and epiphytes (including epiphytic
15 ferns). A subsample of leaves from each section and functional group was measured for
16 leaf area (Li-3100, Li-Cor Inc., Lincoln, NE), and dried to constant mass at 60 °C to
17 determine LMA (g m⁻²). These data represent samples from over 200 species and over 58
18 families; 61 % were tree species, 12 % were palms, 10 % were lianas, 18 % were
19 herbaceous groups, and 7 % were epiphytes.

20 The transmittance of diffuse light (% TRANS) above each sampled tower section
21 (every 1.86 m) was measured with an LAI-2000 (LI-COR Inc., Lincoln, NE). LAI-2000
22 measurements were taken in two-sensor mode, with one sensor above the canopy and the
23 other measuring below the canopy at dawn or when sky was completely overcast. We

1 used a 180° view cap to block the tower itself from the view field. Percent diffuse
2 transmittance was also measured at each tower with hemispherical photography (see
3 Clark et al. for details, 2008). Both LAI-2000 and hemispherical photography methods
4 yielded similar patterns with both height (Appendix, Fig. 1), and LMA (data not shown),
5 therefore only one method of light measurement is presented here to avoid redundancy.
6 A methodological comparison study showed % TRANS measured with an LAI-2000 to
7 be closely related to the seasonally integrated photosynthetic photon flux density in a
8 temperate deciduous forest (Gendron et al. 1998).

9

10 *Statistical analyses*

11 For all functional groups pooled and each group separately, we modeled LMA vs.
12 sample height with simple linear regressions [$LMA = \beta_0 + \beta_1 \text{ height}$], and LMA vs. light
13 with log-linear regressions [$LMA = \beta_0 + \beta_1 \ln(\text{light})$]. Linear piece-wise regression
14 procedures were also used to model LMA vs. light as in Ishii et al. (2008) to determine
15 the light value at which foliage may not respond to increasing light levels. The light term
16 was log-transformed because light showed a curved, asymptotic relationship with LMA,
17 and the natural log function enabled us to linearize the model to combine both height and
18 light terms into linear multiple regressions [$LMA = \beta_0 + \beta_1 \text{ height} + \beta_2 \ln(\text{light})$] for each
19 functional group individually. Interaction terms were not significant and were omitted
20 from all models. In order to more clearly see patterns in the large dataset, we computed
21 LMA means and standard errors for all functional groups combined and each group
22 individually for the following height classes: 0-5, 5-10, 10-15, 15-20, 20-25, 25-30, and
23 30-40 m, and the following light classes: 0-5, 5-10, 10-15, 15-20, 20-30, 30-40, 40-50,

1 50-60, 60-70, 70-80, 80-90, and 90-100 % diffuse transmittance (%TRANS). We
2 compared models for each functional group using partial R^2 values and standardized
3 Akaike's Information Criterion (AIC-AIC_{min}, the AIC of the model minus the minimum
4 AIC, zero for the best model) (Burnham and Anderson 1998).

5 Height and light are correlated within forest canopies (within our sample: $\ln(\text{light})$
6 $= 0.51 + \text{height} * 0.11$, $R^2 = 0.50$, $p < 0.0001$, Appendix, Fig. 1). To address the possibility
7 of collinearity in our models, we computed a variance inflation factor (VIF) between
8 height and $\ln(\text{light})$. The VIF quantifies the extent to which multicollinearity amongst the
9 independent variables may be inflating their standard errors, making them less precise
10 and difficult to interpret. A value of VIF close to 1 indicates the measurements represent
11 different entities, and VIFs greater than 10 indicate serious collinearity (Chatterjee and
12 Price 1991). To further separate out the effects of height and light, we grouped the
13 pooled data into three narrow bands of height (0-2, 9-11, and 24-26 m) and plotted LMA
14 vs. $\ln(\text{light})$, and vice versa for three narrow bands of light transmittance (0-10, 30-40,
15 and 90-100 % TRANS). Group categories were selected that had sufficient data ($n > 40$)
16 to represent low, medium, high levels of light and height. All statistical analyses were
17 performed with SAS Version 9.1 (SAS Institute Inc., Cary, NC, USA).

18

19 **RESULTS**

20 Rooted herbaceous functional groups and palms were generally found in the
21 lower canopy and the forest floor (mean sample heights = 7.3 ± 0.6 m and 8.5 ± 0.5 m,
22 respectively); epiphytes and trees were found in the mid to upper canopy (14.4 ± 0.7 m
23 and 15.3 ± 0.4 m, respectively); and lianas leaves were primarily found in the upper

1 canopy (17.4 ± 0.7 m, Fig. 1A). LMA showed a different pattern with functional group,
2 however. Rooted herbaceous groups had the lowest overall LMA (59.0 ± 2.4 g cm⁻²),
3 palms and epiphytes had the highest LMA (97.2 ± 2.6 g cm⁻² and 90.1 ± 4.6 g cm⁻²,
4 respectively), and LMA means for trees and lianas fell somewhere in the middle ($86.1 \pm$
5 1.7 g cm⁻² and 85.3 ± 2.5 g cm⁻², respectively, Fig. 1B)

6 LMA increased linearly with height ($R^2=0.27$, $p<0.0001$, Fig. 2A), while the
7 relationship between LMA and light was non-linear and weaker ($R^2=0.16$, $p<0.0001$, Fig.
8 2B, see Table 1 for regression equation coefficients under “All groups”). Linear piece-
9 wise regression showed that LMA did not respond to light at values above 21.7 %
10 TRANS (for light < 21.7: LMA = $2.3 \cdot \text{light} + 63.2$; and for light ≥ 21.7 : LMA = -
11 $0.007 \cdot \text{light} + 113.4$), which corresponded to a canopy height of 17.7 m on average
12 (Appendix, Fig. 1). When the LMA data from our stratified random sample of 45 vertical
13 canopy transects were pooled across all five plant functional groups and separated into
14 classes of height and light, the incredible uniformity of pattern with height was more
15 apparent (Fig. 2C), and it was more apparent that LMA did not respond to light above
16 approximately 20 % TRANS (Fig. 2D). Standard errors increased higher in the canopy
17 because of decreased sample size for higher height and light classes (Figs. 2C-D).

18 LMA increased linearly with height for each functional group individually (Figs.
19 3A-J), while the relationship between LMA and light was non-linear for each group
20 (Figs. 3K-T, see Table 1 for regression coefficients). Overall, LMA ranged from about
21 10 to 400 g m⁻², with outliers in the upper range almost exclusively comprised of
22 epiphytes (Figs 3E and 3O). We found only six individual species with enough data
23 points to statistically test in the same manner (>30 sample points at >4 sample heights),

1 and results were very similar (Appendix, Fig. 2). Based on R^2 values, height explained
2 more of the variance in LMA than light for all functional groups except epiphytes (Fig. 3,
3 Table 1). Based on partial R^2 values, adding $\ln(\text{light})$ to the “height only” models
4 showed only marginal or no improvement in model R^2 values (Table 1). Adding height,
5 on the other hand, showed 0.16 - 0.30 improvements in R^2 over the “light only” model in
6 all groups except epiphytes (Table 1). Standardized AIC values for three competing
7 models (height only, light only, height+light) showed that for all plant functional groups
8 except epiphytes, light did not greatly improve the model fit once height was included in
9 the model (Fig. 4, Table 1). The model with light only was the worst fit for all groups
10 except epiphytes ($\text{AIC}-\text{AIC}_{\min}=\text{zero}$ for the best model fit, Fig. 4). For all groups pooled,
11 residuals of the “Height only” model were plotted against $\ln(\text{light})$ and residuals of the
12 “Light only” model were plotted against sample height (Appendix, Fig. 3). After the
13 variance in LMA was explained by height, there was little left to be explained by light
14 ($p=0.2$), but height still added additional information after the variance in LMA was
15 explained by light ($p < 0.001$, Appendix, Fig. 3).

16 Light environment had no effect on LMA at three narrow bands of height (Fig.
17 5A-C), but LMA significantly increased with height within three narrow bands of light
18 environment (Fig. 5D-F). The variance inflation factor (VIF) between height and
19 $\ln(\text{light})$ was 1.9. Since this value is close to 1, we conclude that we do not have a
20 serious problem of collinearity between height and $\ln(\text{light})$ (Chatterjee and Price 1991) .

21

22 **DISCUSSION**

23 *LMA pattern within the canopy is not the result of species replacement*

1 We set out to determine what the primary driving forces were in the leaf mass per
2 area of all functional groups in an old growth tropical rainforest. We randomly sampled
3 the canopy in both horizontal and vertical dimensions; therefore, mean sample heights
4 should indicate where the majority of leaves of each functional group were located in the
5 canopy profile (Fig. 1). If species replacement were driving the pattern of increasing
6 LMA within the canopy profile, we would expect to find species at the bottom of the
7 profile to have lower LMA than species at the top of the profile. Palms (22% of total
8 LAI, Clark et al. 2008) had the largest overall LMA, but were primarily located in the
9 lower canopy (Fig. 1). If the life form spectrum was dictating vertical LMA patterns, we
10 would also expect that lianas, located almost exclusively in the upper canopy, would have
11 the highest LMA values. This was not the case. The change in life form does not
12 correspond with the change in LMA within the canopy, indicating that the trend of
13 increasing LMA within the canopy profile was not driven by a genotypic pattern of
14 species replacement.

15

16 ***Height is the primary driver of the LMA gradient, but light also has some effect***

17 LMA was much more strongly related to height than to light in this forest. In fact,
18 the data show an incredible uniformity of pattern with height across all species sampled
19 (Fig. 2C). Piece-wise regression results and plots of mean LMA vs. light suggest that
20 light does play a role in controlling leaf morphology at 0-18 % of diffuse transmittance
21 where the relationship between light and LMA is linear and less variable (Fig. 2B,D).
22 Our results correspond with a recent study in tall sequoias, where LMA increased
23 continuously with height, but did not respond to increasing light levels above about 20%

1 canopy openness (Ishii et al. 2008). Several additional studies have also suggested that
2 the plastic response of foliar morphology to light levels may be constrained by water
3 relations at the tops of very tall trees (Ishii et al. 2007, Meinzer et al. 2008, Ambrose et
4 al. 2009). Chabot et al. (1979) hypothesized a plateau in LMA response when photon
5 flux densities are saturating for photosynthesis, and Ellsworth and Reich (1992) found all
6 or most of the photosynthetic acclimation to high light in sugar maple to occur at 15% of
7 full sunlight.

8 Several recent studies have suggested that light may not be the primary driving
9 force behind vertical gradients of LMA in forest canopies (Niinemets and Kull 1995,
10 Niinemets 1997, Niinemets and Kull 1998, Rijkers et al. 2000, Marshall and Monserud
11 2003, Koch et al. 2004, Woodruff et al. 2004, England and Attiwill 2006, Ryan et al.
12 2006, Burgess and Dawson 2007, Ishii et al. 2007, Ishii et al. 2008, Meinzer et al. 2008).
13 What mechanism could explain this phenomenon of a tight relationship between LMA
14 and height for all species in a forest? Xylem water potential decreases by 0.01 MPa per
15 meter of height, simply because of gravity (Scholander et al. 1965). Leaf turgor pressure
16 has also been found to decrease with height in trees (Woodruff et al. 2004, Meinzer et al.
17 2008), likely as a response to the decrease in leaf water potential due to both gravity and
18 hydraulic path length. Recent studies have found that leaves expand and develop
19 primarily at night (Matsubara et al. 2006, Schurr et al. 2006), when water potential and
20 turgor pressure are highest. Nighttime water potential and turgor pressure values are
21 most likely to be linearly related to height because the effects of gravitational potential
22 dominate at night (Scholander et al. 1965). Decreased turgor pressure will cause
23 decreased cell expansion (Hsiao 1973), which could result in denser, smaller foliage and

1 higher LMA with each meter of height increase. It is possible that osmotic and stomatal
2 adjustment could also dynamically affect xylem and leaf water potential, but these forces
3 are minimized at night when transpiration is low or absent. Thus, LMA may overall be
4 responding more strongly to leaf water potential than to light environment, especially in
5 the tops of tall trees.

6 In contrast to our results, some studies have reported linear relationships between
7 LMA and light over a wide range of light conditions. It is possible that these studies did
8 not show curved relationships because they did not have enough data points in the high
9 light range (Ellsworth and Reich 1993, Niinemets and Tenhunen 1997), or because they
10 were conducted on shorter, open-grown trees where the light vs. LMA relationship may
11 not yet have reached an asymptote (Dejong and Doyle 1985, Sack et al. 2006). In fact,
12 Niinemets et al. (1998) hypothesized that LMA in shade tolerant trees had a linear
13 relationship with light while shade-intolerant trees showed a curved relationship with
14 light because the plasticity of the shade intolerant species had not yet reached saturation
15 levels.

16

17 ***Epiphytes show a different pattern***

18 The height vs. LMA relationship was weaker for epiphytes than any other
19 functional group (Table 1, Fig. 3E,O), and the “light only” model performed better than
20 the “height only” model for epiphytes alone (Fig. 4). Epiphytic leaves usually develop in
21 canopy soils with no hydraulic connectivity to the ground. Therefore, water would not
22 need to move from the ground up to the leaves, and gravity would have no effect on
23 differences in turgor pressure or xylem water potential. These factors would circumvent

1 the link between height and LMA and explain the weak relationship between LMA and
2 height for this functional group. Koch et al. (2004) observed a similar phenomenon in
3 redwoods, where an epiphytic redwood seedling high in the canopy had much lower
4 LMA than adjacent foliage of the parent tree.

6 *Other possible mechanisms*

7 LMA undoubtedly responds to a suite of environmental and genetic pressures (in
8 addition to the effects of height), which are all together responsible for the morphology of
9 each leaf. LMA could be responding to other environmental gradients which change
10 within the canopy profile, such as humidity or temperature. These variables are not linear
11 with height within the canopy, however, and are unlikely to be influencing LMA to have
12 a linear response to height (temperature and relative humidity vs. height description
13 based on towers project data, M. Ryan, unpublished). Carbon source vs. sink behavior
14 could also affect foliar growth and morphological development in different parts of the
15 canopy. For example, variation in leaf morphology could be an indirect effect of light
16 environment if a leaf were in a low light environment (sink) but surrounded by leaves in
17 higher light environment (sources), or vice versa, but this is unlikely to result in a linear
18 pattern with height. Niinemets found that solutes increased in higher leaves, and
19 concluded that this may be in part responsible for greater LMA in needles higher in the
20 canopy (Niinemets 1997). Very little is known about these secondary environmental
21 responses in LMA.

22 Our data showed that LMA was related to sample height, which is related to but
23 not the same as total plant height. The proportion of juveniles likely decreases with

1 height, and in general, juveniles (seedlings in particular) can have lower LMA than
2 adults. LMA could also change ontogenetically with total plant size independent of light
3 or leaf water potential. Differences in LMA with total tree height have been found in
4 tropical and conifer forests (Ninemets and Kull 1995, Rijkers et al. 2000, Kenzo et al.
5 2006), though it is difficult to determine if these patterns are the result of gravity or
6 developmental constraints on plasticity, which is much less understood.

8 *Implications for physiological function*

9 Within forest canopies, LMA is predictive of gas exchange rates and foliar
10 nutrients per unit leaf area because of the strong correlation of LMA with height, and the
11 influence of LMA on rates per unit area (Ellsworth and Reich 1993, Mitchell et al. 1999,
12 Meir et al. 2001, Cavaleri et al. 2008). The remarkable LMA-height relationship has the
13 potential to greatly improve and simplify canopy process models. Height is much easier
14 to measure than light, and it may be possible to solely use height to model the foliar
15 physiological parameters that correlate with LMA within canopy profiles, such as
16 photosynthetic capacity (Ellsworth and Reich 1993), foliar nitrogen (Ellsworth and Reich
17 1993, Mitchell et al. 1999, Meir et al. 2001, Cavaleri et al. 2008), foliar phosphorous
18 (Meir et al. 2001, Cavaleri et al. 2008), and dark respiration (Mitchell et al. 1999, Meir et
19 al. 2001, Cavaleri et al. 2008). Light detection and ranging (LIDAR) technology can
20 directly measure canopy height profiles remotely (Lefsky et al. 2002), potentially
21 simplifying the remote sensing of canopy physiology.

23 **CONCLUSION**

1 In this study, we measured light environment, height, and LMA of all plant
2 functional groups (200+ species) found along 45 vertical canopy transects across the
3 landscape of an old-growth tropical rain forest in Costa Rica. We concluded that the
4 vertical pattern of LMA was not the result of species replacement. While light did affect
5 LMA, especially in the light-limited understory below 18 m and 22 % diffuse
6 transmittance, LMA was better related to height throughout the entire canopy profile.
7 Our results support the hypothesis that the universal LMA gradient within forest stands is
8 likely driven by a linear decrease in turgor pressure with height, caused by a linear
9 decrease in xylem water potential with gravity. LMA is easily measurable and
10 remarkably predictive of foliar physiological function, within and across species.

11

12 **ACKNOWLEDGEMENTS**

13 This material is based on support from the U.S. National Science Foundation
14 ATM- 0223284. We thank Rudy King for help with statistical analysis and Paulo Olivas,
15 Harlyn Ordoñez and the Towers field and lab crews for invaluable field assistance.

16

17 **LITERATURE CITED**

18 Ambrose, A. R., S. C. Sillett, and T. E. Dawson. 2009. Effects of tree height on
19 branch hydraulics, leaf structure and gas exchange in California redwoods. *Plant Cell and*
20 *Environment* 32:743-757.

21 Bond, B. J., B. T. Farnsworth, R. A. Coulombe, and W. E. Winner. 1999. Foliage
22 physiology and biochemistry in response to light gradients in conifers with varying shade
23 tolerance. *Oecologia* 120:183-192.

1 Burgess, S. S. O., and T. E. Dawson. 2007. Predicting the limits to tree height
2 using statistical regressions of leaf traits. *New Phytologist* 174:626-636.

3 Burnham, K. P., and D. R. Anderson. 1998. Model selection and inference: a
4 practical information-theoretic approach. Springer-Verlag, New York, NY, USA.

5 Cavaleri, M. A., S. F. Oberbauer, and M. G. Ryan. 2008. Foliar and ecosystem
6 respiration in an old-growth tropical rain forest. *Plant, Cell and Environment* 31:473–483.

7 Chabot, B. F., T. W. Jurik, and J. F. Chabot. 1979. Influence of instantaneous and
8 integrated light-flux density on leaf anatomy and photosynthesis. *American Journal of*
9 *Botany* 66:940-945.

10 Chatterjee, S., and B. Price. 1991. *Regression Diagnostics*. John Wiley, New
11 York.

12 Clark, D. B., P. C. Olivas, S. F. Oberbauer, D. A. Clark, and M. G. Ryan. 2008.
13 First direct landscape-scale measurement of tropical rain forest Leaf Area Index, a key
14 driver of global primary productivity *Ecology Letters* 11:163-172.

15 Clark, M. L., D. B. Clark, and D. A. Roberts. 2004. Small-footprint lidar
16 estimation of sub-canopy elevation and tree height in a tropical rain forest landscape.
17 *Remote Sensing of Environment* 91:68-89.

18 Dejong, T. M., and J. F. Doyle. 1985. Seasonal relationships between leaf
19 nitrogen content (photosynthetic capacity) and leaf canopy light exposure in peach
20 (*Prunus persica*). *Plant Cell and Environment* 8:701-706.

21 Ellsworth, D. S., and P. B. Reich. 1992. Leaf mass per area, nitrogen-content and
22 photosynthetic carbon gain in *Acer saccharum* seedlings in contrasting forest light
23 environments. *Functional Ecology* 6:423-435.

1 Ellsworth, D. S., and P. B. Reich. 1993. Canopy structure and vertical patterns of
2 photosynthesis and related leaf traits in a deciduous forest. *Oecologia* 96:169-178.

3 England, J. R., and P. M. Attiwill. 2006. Changes in leaf morphology and
4 anatomy with tree age and height in the broadleaved evergreen species, *Eucalyptus*
5 *regnans* F. Muell. *Trees-Structure and Function* 20:79-90.

6 Gendron, F., C. Messier, and P. G. Comeau. 1998. Comparison of various
7 methods for estimating the mean growing season percent photosynthetic photon flux
8 density in forests. *Agricultural and Forest Meteorology* 92:55-70.

9 Hanson, H. C. 1917. Leaf structure as related to environment. *American Journal*
10 *of Botany* 4:533-560.

11 Hartshorn, G. S. 1983. Plants. Pages 118-157 in D. H. Janzen, editor. *Costa Rican*
12 *Natural History*. University of Chicago Press, Chicago.

13 Hikosaka, K., I. Terashima, and S. Katoh. 1994. Effects of leaf age, nitrogen
14 nutrition and photon flux-density on the distribution of nitrogen among leaves of a vine
15 grown horizontally to avoid mutual shading of leaves. *Oecologia* 97:451-457.

16 Hollinger, D. Y. 1989. Canopy organization and foliage photosynthetic capacity
17 in a broad-leaved evergreen montane forest. *Functional Ecology* 3:53-62.

18 Hsiao, T. C. 1973. Plant responses to water stress. *Annual Review of Plant*
19 *Physiology and Plant Molecular Biology* 24:519-570.

20 Hutchison, B. A., D. R. Matt, R. T. McMillen, L. J. Gross, S. J. Tajchman, and J.
21 M. Norman. 1986. The architecture of a deciduous forest canopy in eastern Tennessee,
22 USA. *Journal of Ecology* 74:635-646.

1 Ishii, H., S. Kitaoka, T. Fujisaki, Y. Maruyama, and T. Koike. 2007. Plasticity of
2 shoot and needle morphology and photosynthesis of two *Picea* species with different site
3 preferences in northern Japan. *Tree Physiology* 27:1595-1605.

4 Ishii, H. T., G. M. Jennings, S. C. Sillett, and G. W. Koch. 2008. Hydrostatic
5 constraints on morphological exploitation of light in tall *Sequoia sempervirens* trees.
6 *Oecologia* 156:751-763.

7 Jackson, L. W. R. 1967. Effect of shade on leaf structure of deciduous tree
8 species. *Ecology* 48:498-499.

9 Kenzo, T., T. Ichie, Y. Watanabe, R. Yoneda, I. Ninomiya, and T. Koike. 2006.
10 Changes in photosynthesis and leaf characteristics with tree height in five dipterocarp
11 species in a tropical rain forest. *Tree Physiology* 26:865-873.

12 Koch, G. W., S. C. Sillett, G. M. Jennings, and S. D. Davis. 2004. The limits to
13 tree height. *Nature* 428:851-854.

14 Lefsky, M. A., W. B. Cohen, G. G. Parker, and D. J. Harding. 2002. Lidar remote
15 sensing for ecosystem studies. *BioScience* 52:19-30.

16 Lieberman, D., M. Lieberman, R. Peralta, and G. S. Hartshorn. 1996. Tropical
17 forest structure and composition on a large-scale altitudinal gradient in Costa Rica.
18 *Journal of Ecology* 84:137-152.

19 Marshall, J. D., and R. A. Monserud. 2003. Foliage height influences specific leaf
20 area of three conifer species. *Canadian Journal of Forest Research* 33:164-170.

21 Matsubara, S., V. Hurry, N. Druart, C. Benedict, I. Janzik, A. Chavarria-Krauser,
22 A. Walter, and U. Schurr. 2006. Nocturnal changes in leaf growth of *Populus deltoides*
23 are controlled by cytoplasmic growth. *Planta* 223:1315-1328.

1 McDade, L., K. Bawa, G. Hartshorn, and H. Hespenheide. 1994. La Selva: the
2 ecology and natural history of a neotropical rainforest. Chicago Press, Chicago.

3 Meinzer, F. C., B. J. Bond, and J. A. Karanian. 2008. Biophysical constraints on
4 leaf expansion in a tall conifer. *Tree Physiology* 28:197-206.

5 Meir, P., J. Grace, and A. C. Miranda. 2001. Leaf respiration in two tropical
6 rainforests: constraints on physiology by phosphorus, nitrogen and temperature.
7 *Functional Ecology* 15:378-387.

8 Mitchell, K. A., P. V. Bolstad, and J. M. Vose. 1999. Interspecific and
9 environmentally induced variation in foliar dark respiration among eighteen southeastern
10 deciduous tree species. *Tree Physiology* 19:861-870.

11 Niinemets, U. 1997. Distribution patterns of foliar carbon and nitrogen as affected
12 by tree dimensions and relative light conditions in the canopy of *Picea abies*. *Trees*
13 11:144-154.

14 Niinemets, U., and O. Kull. 1995. Effects of light availability and tree size on the
15 architecture of assimilative surface in the canopy of *Picea abies* - variation in shoot
16 structure. *Tree Physiology* 15:791-798.

17 Niinemets, U., and O. Kull. 1998. Stoichiometry of foliar carbon constituents
18 varies along light gradients in temperate woody canopies: implications for foliage
19 morphological plasticity. *Tree Physiology* 18:467-479.

20 Niinemets, U., and J. D. Tenhunen. 1997. A model separating leaf structural and
21 physiological effects on carbon gain along light gradients for the shade-tolerant species
22 *Acer saccharum*. *Plant Cell and Environment* 20:845-866.

1 Nobel, P. S. 1977. Internal leaf area and cellular CO₂ resistance - photosynthetic
2 implications of variations with growth-conditions and plant species. *Physiologia*
3 *Plantarum* 40:137-144.

4 Oberbauer, S. F., and B. R. Strain. 1986. Effects of canopy position and irradiance
5 on the leaf physiology and morphology of *Pentaclethra macroloba* (Mimosaceae).
6 *American Journal of Botany* 73:409-416.

7 Oquist, G., L. Brunes, and J. E. Hallgren. 1982. Photosynthetic efficiency of
8 *Betula pendula* acclimated to different quantum flux densities. *Plant Cell and*
9 *Environment* 5:9-15.

10 Reich, P. B., C. Uhl, M. B. Walters, and D. S. Ellsworth. 1991. Leaf life-span as a
11 determinant of leaf structure and function among 23 Amazonian tree species. *Oecologia*
12 86:16-24.

13 Rijkers, T., T. L. Pons, and F. Bongers. 2000. The effect of tree height and light
14 availability on photosynthetic leaf traits of four neotropical species differing in shade
15 tolerance. *Functional Ecology* 14:77-86.

16 Ryan, M. G., N. Phillips, and B. J. Bond. 2006. The hydraulic limitation
17 hypothesis revisited. *Plant Cell and Environment*:367-381.

18 Sack, L., P. J. Melcher, W. H. Liu, E. Middleton, and T. Pardee. 2006. How
19 strong is intracanopy leaf plasticity in temperate deciduous trees? *American Journal of*
20 *Botany* 93:829-839.

21 Scholander, P. F., H. T. Hammel, E. D. Bradstreet, and E. A. Hemmingsen. 1965.
22 Sap pressure in vascular plants - negative hydrostatic pressure can be measured in plants.
23 *Science* 148:339-346.

1 Schurr, U., A. Walter, and U. Rascher. 2006. Functional dynamics of plant growth
2 and photosynthesis - from steady-state to dynamics - from homogeneity to heterogeneity.
3 Plant Cell and Environment 29:340-352.

4 Smith, W. K., and P. S. Nobel. 1978. Influence of irradiation, soil-water potential,
5 and leaf temperature on leaf morphology of a desert broadleaf, *Encelia farinosa gray*
6 (Compositae). American Journal of Botany 65:429-432.

7 Woodruff, D. R., B. J. Bond, and F. C. Meinzer. 2004. Does turgor limit growth
8 in tall trees? Plant Cell and Environment 27:229-236.

9

1 **TABLES**

2 Table 1. For each plant functional group and all groups pooled together, regression coefficients, R^2 values, and Akaike's
 3 Information Criterion (AIC, lower is better) are displayed for the log-linear regressions between LMA and light, the linear regressions
 4 between LMA and height, and the multiple regressions including both terms (the interaction term was not significant in any case and
 5 subsequently dropped). Partial R^2 values represent the differences in R^2 between the full model and each single-parameter model.

6
7

Functional Group	n	Light only LMA = $\beta_0 + \beta_1 \ln(\text{light})$				Height only LMA = $\beta_0 + \beta_1 \text{height}$				Height and Light LMA = $\beta_0 + \beta_1 \ln(\text{light}) + \beta_2 \text{height}$					Partial R^2 for adding $\ln(\text{light})$	Partial R^2 for adding height
		β_0	β_1	R^2	AIC	β_0	β_1	R^2	AIC	β_0	β_1	β_2	R^2	AIC		
Trees	542	58**	14**	0.26	3854	44**	2.8**	0.44	3706	43**	2.6*	2.5**	0.44	3703	0.01	0.18
Lianas	164	61**	10**	0.22	1105	41**	2.5**	0.52	1027	40**	N.S.	2.4**	0.52	1028	<0.01	0.30
Palms	197	78**	14**	0.26	1364	68**	3.4**	0.40	1324	66**	5.8**	2.7**	0.42	1317	0.03	0.16
Herbaceous groups	157	49**	6.6**	0.07	1061	44**	2.0**	0.27	1023	47**	-5.2*	2.6**	0.29	1020	0.02	0.22
Epiphytes	202	53**	17**	0.10	3872	60**	2.1**	0.10	3895	52**	N.S.	N.S.	0.11	3853	0.01	0.01
All groups	1262	61**	12**	0.16	9343	53**	2.4**	0.27	9173	52**	2.4*	2.1**	0.27	9170	<0.01	0.11

8 ** p-value < 0.01;
 9 * p-value < 0.05;
 10 N.S. p-value > 0.05

1 FIGURE LEGENDS

2 Figure 1. Mean sample height at which each functional group was randomly
3 sampled (plot A), and mean LMA of each functional group (plot B). Error bars indicate
4 standard error of the mean.

5 Figure 2. LMA vs. sample height (plot A), and LMA vs. light environment (plot
6 B) for all functional groups pooled together; and mean LMA values aggregated into bins
7 of height class (plot C), and bins of light class (plot D). Error bars represent standard
8 errors in the X and Y direction of between 37 (greater height) and 314 individuals (lower
9 height) per height class, and between 11 (mid light) and 547 individuals (lower light) per
10 light class. Error bars in the X direction are obscured by the symbols. Plot D shows two
11 model fits to the mean data, a logarithmic model (solid line) and a linear piecewise
12 regression (dotted line). $P < 0.0001$ for all.

13 Figure 3. For each individual functional group, mean LMA values were
14 aggregated into bins of height class (plots A-E), and bins of light class (plots K-O); and
15 all data points were plotted for LMA vs. sample height (plots F-J), and LMA vs. light
16 (plots P-T). LMA increased linearly with height for all functional groups (plots F-J), and
17 the non-linear relationship between LMA and light was described by a logarithmic
18 regression for each functional group (plots P-T). See Table 1 for regression equation
19 coefficients, for all models: p-values < 0.001 . Error bars in mean LMA plots (A-E and K-
20 O) represent standard errors in the X and Y direction, although many of the error bars are
21 obscured by the symbols.

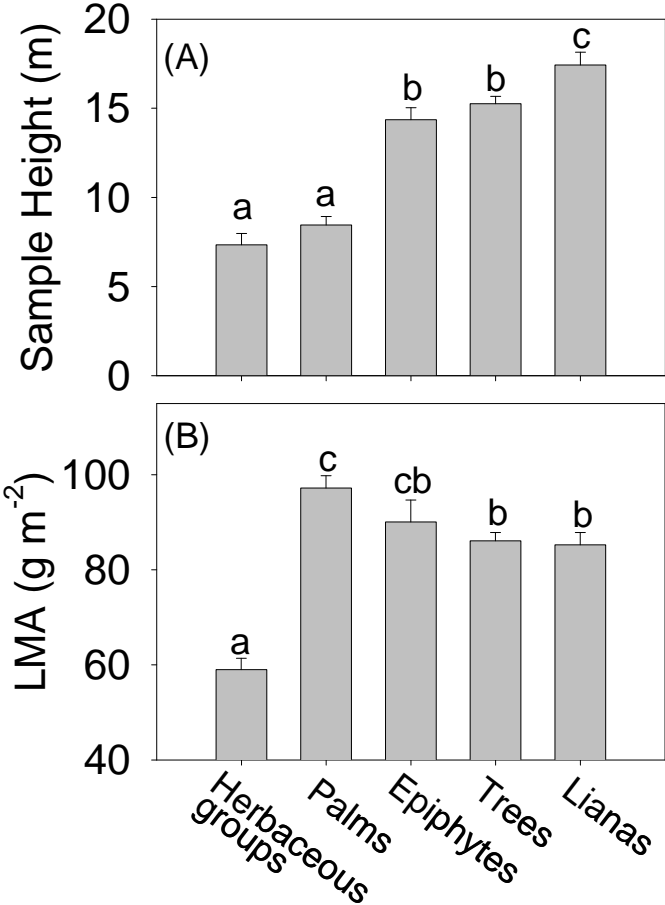
22 Figure 4. For all functional groups pooled, LMA was plotted against \ln light for
23 three narrow bands of height (plots A-C), and against height for three narrow bands of

1 light (plots D-F). Figures show no change in LMA with light at three height levels, but
2 significant increase in LMA with height at three light levels.

3 Figure 5. Standardized Akaike's Information Criterion (Model AIC – AIC_{min}) for
4 each of three competing linear models predicting LMA for the following functional
5 groups: trees, lianas, palms, herbaceous groups, and epiphytes. For each group, AIC-
6 AIC_{min} is zero for the best fit model. The three models were: $LMA = \beta_0 + \beta_1 \ln(\text{light})$;
7 $LMA = \beta_0 + \beta_1 \text{height}$; and $LMA = \beta_0 + \beta_1 \text{height} + \beta_2 \ln(\text{light})$. For all groups except
8 epiphytes, once height is included in the model, $\ln(\text{light})$ does not greatly improve the
9 model fit. The model with $\ln(\text{light})$ only is the worst fit for all groups except epiphytes

1 **FIGURES**

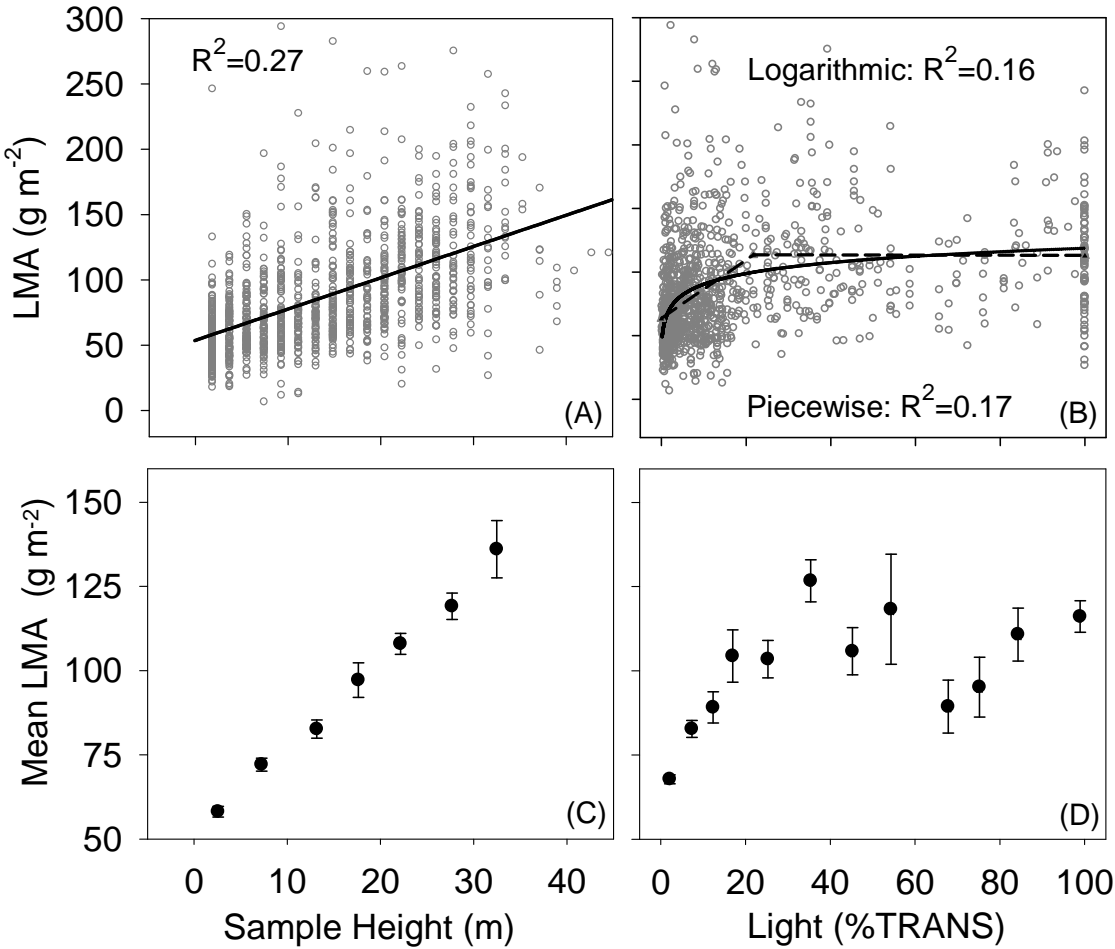
2



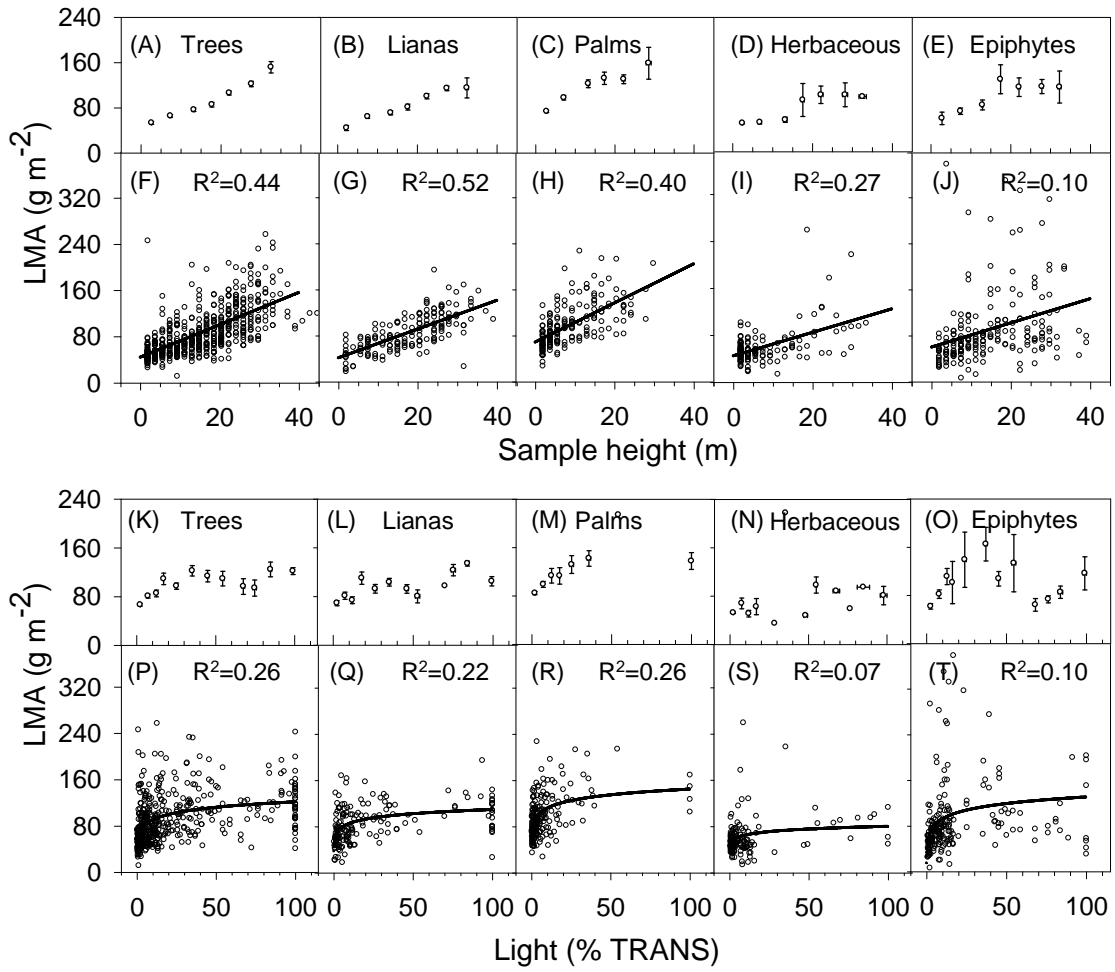
3

4 Fig. 1

5

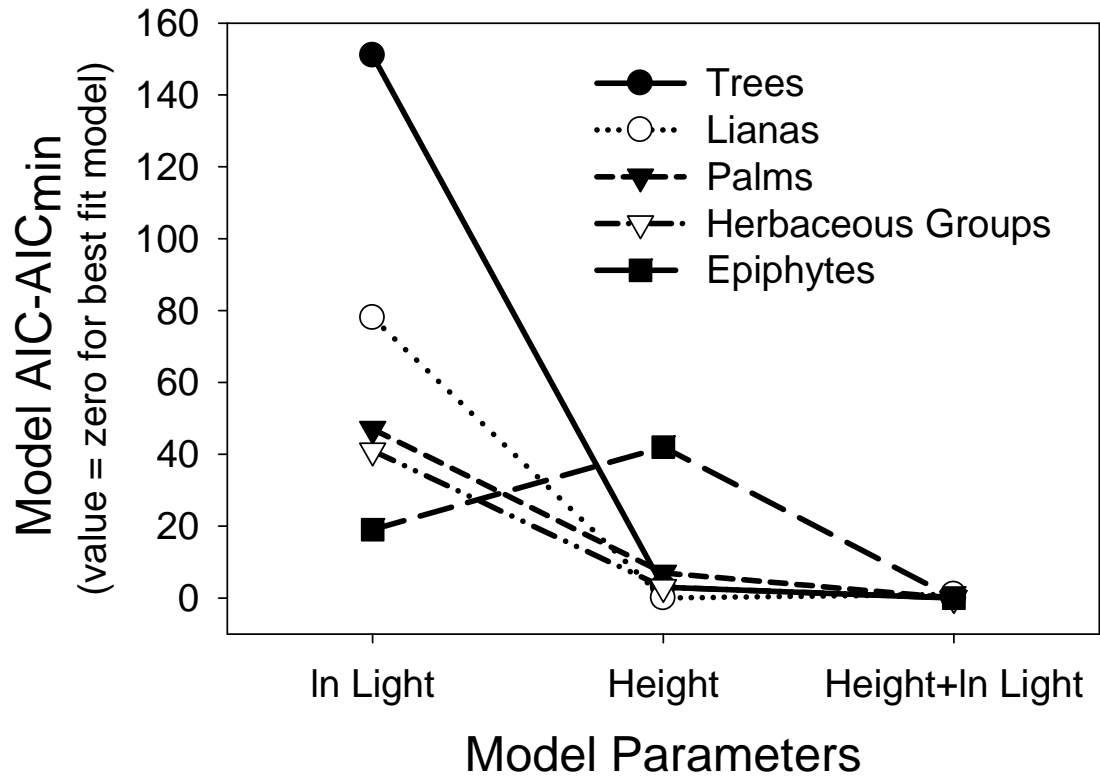


1
2 Fig. 2



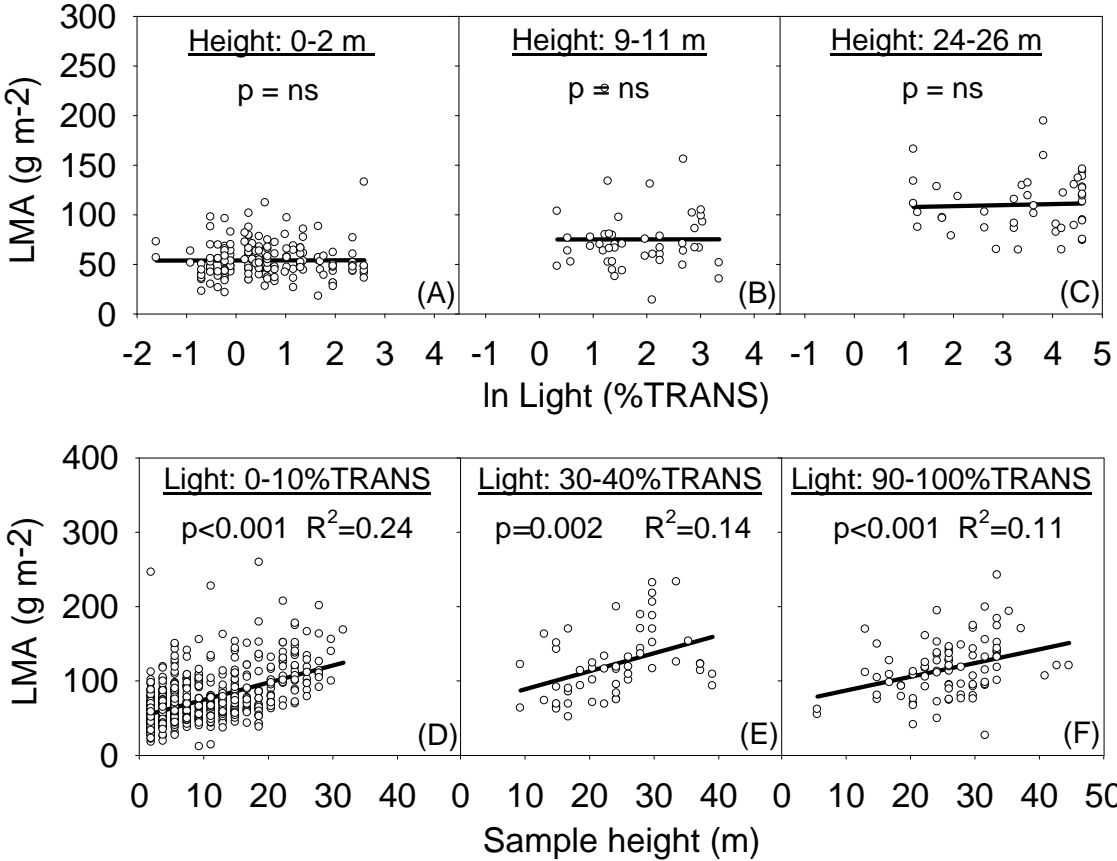
1

2 Fig. 3



1

2 Fig. 4



1
2
3
4
5
6
7
8
9

Fig. 5